

10/02/03



DOCKET NO.: 5051-337DVCT2
DATE: October 2, 2003
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19270 U.S. PTO
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12. ☐ Certified Copy of Application No.
☐ Sequence Listing/ Sequence Listing Diskette
a. ☐ computer readable copy
b. ☐ paper copy
c. ☐ statement in support
14. ☐ An Associate Power of Attorney
15. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
16. ☒ Other: Remarks; New Specification For Publication Under 36 U.S.C. § 122(B)
- The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$375.00	\$750.00
TOTAL CLAIMS	40- 20 =	20	x 09 = \$	x 18 = \$360.00
INDEP CLAIMS	6-3 =	3	x 42 = \$	x 84 = \$252.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 140 = \$	+ 280 = \$
If the difference in Col. 1 is less than zero, Enter "0" in Col. 2			Total \$	Total: \$1362.00

- ☐ A check in the amount of \$0.00 to cover the filing fee is enclosed.
- ☒ Please charge Deposit Account No. 50-0220 in the amount of \$1362.00 to cover the filing fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0220.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Karen Magri

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CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL 193633694 US
Date of Deposit: October 2, 2003
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sloan Smith
Sloan Smith

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 5051-337DVCT2

DATE: October 2, 2003

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))**

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

☒ a patent application

☒ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP)

of U.S. Patent Application No. 09/971,754, filed October 4, 2001, which is a continuation of U.S. Patent Application Serial No. 09/448,105, filed November 23, 1999 (now abandoned), which is a divisional of U.S. Patent Application Serial No. 09/132,536, filed 11 August 1998, issued as U.S. Patent No. 6,040,498, which claims the benefit of U.S. Provisional Application No. 60/055,474 filed 12 August 1997, the disclosures of which are incorporated by reference herein in their entireties.

☐ A Small Entity Statement(s) was filed in the prior application; Status still proper and desired.

Inventor(s) or Application Identifier: **Anne-Marie Stomp**, Raleigh, North Carolina
Nirmala Rajbhandari, Raleigh, North Carolina

Entitled: **GENETICALLY ENGINEERED DUCKWEED**

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 98 pages of Specification (including 40 claims)
3. ☒ (1) sheet of Formal Drawings (35 USC 113)
4. ☒ Oath or Declaration
 - a. ☐ newly executed (*original or copy*)
 - b. ☒ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 5 Below]
 - c. ☐ **DELETION OF INVENTOR(S)** (*Signed statement deleting inventor(s) named in the prior application*)
5. ☒ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☒ Assignment papers (**Filed in parent application**)
8. ☐ Small Entity Statement(s)
9. ☒ Information Disclosure Statement, PTO-1449, and 86 references cited (**references not enclosed**)
10. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
11. ☐ English Translation Document

In re: Stomp et al.
Serial No.: To be assigned
Filed: Concurrently herewith
Page 2 of 2

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Sloan Smith